

FILE COPY *receipt*
PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231.

April 6, 2000
Date

Judith A. Breaks
Judith A. Breaks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jiangchun Xu
Application No. : 09/347,496
Filed : July 2, 1999
For : COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF
COLON CANCER AND METHODS FOR THEIR USE
Docket No. : 210121.471C1
Date : April 6, 2000

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered.
There is an error in the inventor's name, which should read as follows:

JIANGCHUN XU

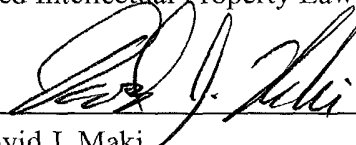
The correction to be made has been marked in red on the copy of the enclosed Filing Receipt. Also enclosed is a copy of the Declaration filed in the application.

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Jiangchun Xu

Seed Intellectual Property Law Group PLLC



David J. Maki

Registration No. 31,392

DJM:jabl

Enclosures:

Postcard

Copy of Filing Receipt With Correction

Copy of Declaration 701 Fifth Avenue, Suite 6300

Seattle, Washington 98104-7092

Phone: (206) 622-4900

Fax: (206) 682-6031

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PTO-103X
(Rev. 6-99)

FILING RECEIPT
CORRECTED



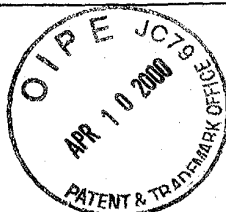
21021.471C1

DJM

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/347,496	07/02/99	1615	\$3,130.00	21021.471C1	4	78	9

DAVID J MAKI
SEED AND BERRY L L P
6300 COLUMBIA CENTER
701 FIFTH AVENUE
SEATTLE WA 98104-7092



RECEIVED

SEP 14 1999

SEED & BERRY

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *Jiang-chun*
JIANGCHUN XU, SEATTLE, WA.

CONTINUING DATA AS CLAIMED BY APPLICANT-
THIS APPLN IS A CIP OF 09/221,298 12/23/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/04/99

TITLE

COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF COLON CANCER AND METHODS
FOR THEIR USE

PRELIMINARY CLASS: 424

DATA ENTRY BY: SCOTT, JOSEPH

TEAM: 03 DATE: 09/08/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted
with Initial Filing



Declaration Submitted after
Initial Filing

Attorney Docket No. **210121.471C1**

First Named Inventor **Jiangchun Xu**

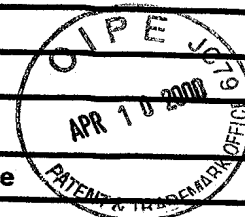
COMPLETE IF KNOWN

Application Number **09/347,496**

Filing Date **July 2, 1999**

Group Art Unit **1615**

Examiner Name **Not yet available**



As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS
OF COLON CANCER AND METHODS FOR THEIR USE**

(Title of Invention)

the specification of which was filed on (MM/DD/YYYY)

7/2/99

the specification of which is attached hereto

as United States Application Number of PCT International
Application Number

Express Mail No.

and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

DECLARATION -- Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States Application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I acknowledge my duty to disclose information of which I am aware which is material to the patentability and examination of this application in accordance with 37 C.F.R. § 1.56(a), including material information which occurred between the filing date of said earlier-filed application and the filing date of the C-I-P application (if applicable).

U.S. Application or PCT Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/221,298	12/23/98	

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Registered practitioner(s) name/Registration number listed below:
 RICHARD W. SEED, Reg. No. 16,557; ROBERT J. BAYNHAM, Reg. No. 22,846; EDWARD W. BULCHIS, Reg. No. 26,847; GEORGE C. RONDEAU, JR., Reg. No. 28,893; DAVID H. DEITS, Reg. No. 28,066; WILLIAM O. FERRON, JR., Reg. No. 30,633; PAUL T. MEIKLEJOHN, Reg. No. 26,569; DAVID J. MAKI, Reg. No. 31,392; RICHARD G. SHARKEY, Reg. No. 32,629; DAVID V. CARLSON, Reg. No. 31,153; KARL R. HERMANNIS, Reg. No. 33,507; DAVID D. MCMASTERS, Reg. No. 33,963; MICHAEL J. DONOHUE, Reg. No. 35,859; CHRISTOPHER J. DALEY-WATSON, Reg. No. 34,807; STEVEN D. LAWRENZ, Reg. No. 37,376; ROBERT G. WOOLSTON, Reg. No. 37,263; ELLEN M. BIERMAN, Reg. No. 38,079; PAUL T. PARKER, Reg. No. 38,264; ANN T. KADLECEK, Reg. No. 39,244; DAVID W. PARKER, Reg. No. 37,414; BRIAN G. BODINE, Reg. No. 40,520;

FRANK ABRAMON, Reg. No. 38,060; E. RUSSELL TARLETON, Reg. No. 31,800; KEVIN S. COSTANZA, Reg. No. 31,801; DALE C. BARR, Reg. No. 40,498; KEVIN S. ROSS, Reg. No. 42,116; JOHN M. WECHKIN, Reg. No. 42,216; THOMAS E. LOOP, Reg. No. 42,810; STEPHEN J. ROSENMAN, Reg. No. 43,058; BRIAN HANSON, Reg. No. 40,033; JAMES D. WHITE, Reg. No. 43,985; KIMTON N. ENG, Reg. No. 43,605; SUSAN D. BETCHER, Reg. No. 43,498; LUIS de GUZMAN, Reg. No. 41,702; JANE E. R. TERRY, Reg. No. 33,332; KENNETH H. TARBET, Reg. No. 43,181, and WILLIAM T. CHRISTIAN, Reg. No. P-44,614, comprising the firm of SEED AND BERRY LLP, 6300 Columbia Center, Seattle, Washington 98104-7092, and CYNTHIA L. SHUMATE, Reg. No. 38,303 and KATHLEEN NORA MCKEREHAN, Reg. No. 41,985, as our attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

Given Name (first and middle [if any])

Family Name or Surname

Jiangchun

Xu

Inventor's
Signature

Date

25 Aug, 1999

Post Office Address

15805 Southeast 43rd Place

County

King

City

Seattle

State

WA

Country

USA

Citizenship

China

Name of Additional Inventor:

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

Date

Post Office Address

County

City

State

Country

Citizenship

Name of Additional Inventor:

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

Date

Post Office Address

County

City

State

Country

Citizenship

Direct all correspondence to:

Name

David J. Maki

of SEED AND BERRY LLP

Address

6300 Columbia Center

Address

701 Fifth Avenue

City

Seattle

State

WA

Zip

98104-7092

Country

United States of America

Telephone

(206) 622-4900

Fax

(206) 682-6031